1	BEFORE THE BOARD OF PSYCHOLOGIST EXAMINERS	
2	FOR THE STATE OF ARIZONA	
3	In the Matter of:	
4	Paige Huff, M.S., BCBA	CASE NO. 23-19
5	Holder of License No. BEH-000633 for the Practice of Behavior Analysis in the State of Arizona	CONSENT AGREEMENT AND ORDER FOR PROBATION AND PRACTICE MONITORING
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7	,	
8	On August 4, 2023, the Arizona Board of Psychologist Examiners ("Board") met	
9	virtually in open session to discuss the above-referenced case. Paige Huff, M.S., BCBA,	
10	("Respondent") appeared virtually with her attorney, Sara Stark, Esq. The parties agree to enter	
11	into this Consent Agreement in lieu of further administrative proceedings.	
12	JURISDICTION	
13	1. The Board is the state agency authorized pursuant to Arizona Revised Statutes	
14	("A.R.S.") § 32-2091 et. seq., and the rules promulgated thereunder in the Arizona	
15	Administrative Code ("A.A.C." or "rules") at R4-26-401 et seq., to regulate and control the	
16	licensing of behavior analysts in the State of Arizona.	
17	2. Respondent is the holder of license number BEH-000633 for the practice of	
18	behavior analysis in the State of Arizona.	
19 20	3. The Board has personal and subject matter jurisdiction over Respondent pursuant	
20	to A.R.S. § 32-2091, et seq., and the rules at A.A.C. R4-26-401, et seq.	
22	RECITALS	
22	Respondent understands and agrees that:	
24	1. The Board and Respondent enter	er into this Consent Agreement to promptly and
25	judiciously resolve these matters, consistent	with the public interest and the statutory
26	requirements of the Board.	
27	2. Respondent has the right to cor	sult with an attorney before entering into this
28	Consent Agreement.	
	1	

3. Respondent has a right to a formal public hearing concerning this case where she could present evidence and cross-examine witnesses. Respondent irrevocably waives any right to such a hearing, rehearing or review or to any judicial review or other appeal of this matter upon this Consent Agreement becoming effective.

4. Although Respondent does not agree that all of the allegations in Complaint No. 23-19 are supported by the evidence, Respondent acknowledges that it is the Board's position that if these matters proceeded to formal hearing, the Board could offer sufficient evidence to support a conclusion that certain of Respondent's conduct constituted unprofessional conduct. Therefore, Respondent has agreed to enter into this Consent Agreement as an economical and practical means of resolving the issues alleged in the Complaint.

5. This Consent Agreement shall be subject to the Board's approval and shall be effective only when signed by the Executive Director and accepted by the Board. In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that if the Board rejects this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.

6. The Consent Agreement, once approved by the Board, evidenced by the signature of the Executive Director or her designee and signed by the Respondent, shall constitute a public record that may be disseminated as a formal action of the Board and shall be reported to the National Practitioner Data Bank and the Behavior Analyst Certification Board.

7. Respondent voluntarily enters into this Consent Agreement for the purpose of avoiding the expense, uncertainty, and prolonged time involved in further administrative proceedings. The issues contained herein are resolved by settlement and not actually litigated. Any allegations and findings herein may not be used for *res judicata* or collateral estoppel effect in any subsequent civil proceedings for any claims of professional liability or negligence by or on behalf of Complainant(s).

Respondent acknowledges and agrees that the acceptance of this Consent
 Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future.

9. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.

10. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter other matters concerning Respondent, including but not limited to, violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

11. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, she may not revoke acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed by the Executive Director. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.

12. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed by the Executive Director. In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and

1 discussion of this document or any records relating thereto.

13. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.

14. Respondent understands that any violation of this Consent Agreement constitutes unprofessional conduct and may result in disciplinary action, pursuant to A.R.S. §32-2091(12)(aa).

15. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

ACCEPTED AND AGREED BY RESPONDENT:

Paige Huff. Respondent L

 $\frac{1}{\text{Date}}$ \subset

FINDINGS OF FACT

1. Respondent was issued her behavior analyst license by the Board in 2021. She currently co-owns and operates a behavior analytic service provision company, Adventure ABA LLC ("Agency").

2. On October 17, 2022, E.F. filed a complaint with the Board. E.F. was formerly employed as a Registered Behavior Technician and site trainer at Agency. The complaint alleged, in part, unlawful billing practices, inadequate training/supervision, and failure to report incidents to the Arizona Department of Child Safety, all under the management of Respondent and others associated with the Agency.

3. This matter came before the Committee on Behavior Analysis ("CBA") in March and June of 2023. The Committee noted that there were many areas in which the Agency's policies and procedures were deficient, supervisory roles were not clearly delineated and records reviewed reflect a general lack of documentation relating to supervision, incident reporting, mandatory reporting, tracking of incident reports, and inter-agency communications relating to incident/mandatory reporting. It was not until after the CBA's initial review of this matter that Respondent provided some of the documentation that the CBA noted was missing in the initial complaint response submission. Respondent did acknowledge that the Agency continues to develop and modify policies and procedures, documents and forms necessary for the effective and compliant operation of the Agency.

4. Respondent was unable to produce the original incident report that related to allegations that an Agency service provider had inappropriately touched a client. There were several concerns around this incident including reporting/failure to report, recordkeeping, and communication. Overall, there was a lack of clear, demonstrable evidence that adequate follow-through and follow-up relating to incident reporting and recordkeeping occurred. While some policies and procedures may have been in place, there is a lack of evidence that they were followed.

5. Concern was also expressed that some of the conduct described above occurred during or just after Respondent was working with a Practice Monitor. While the CBA and the Board recognized that Respondent has made improvements to the Agency's development and implementation of the policies and procedures, the Board concluded that Respondent would benefit from additional mentoring from a Practice Monitor.

CONCLUSIONS OF LAW

1. The conduct and circumstances described in Complaint No. 23-19, if supported by the evidence, would constitute unprofessional conduct.

2. The conduct and circumstances above constitute a violation of A.R.S. §32-2091(12)(dd), which defines unprofessional conduct as violating an ethical standard adopted by the Board as it relates to the Behavior Analyst Certification Board's Professional and Ethical Compliance Code for Behavior Analysts. The conduct set forth above is a violation of the following ethical code provisions:

- a. Responsible Conduct of Behavior Analysts, which require behavior analysts to maintain the high standards of behavior of the profession;
- b. 1.02(a) Boundaries of Competence, which requires all behavior analysts to provide services, teach, and conduct research only within the boundaries of their competence, defined as being commensurate with the education, training and supervised experience;
 - c. 1.04(d) Integrity, which requires a behavior analysts' behavior conforms to the legal and ethical codes of the social and professional community of which they are members;
 - d. 5.01 Supervisory Competence, which requires behavior analysts supervise only within their areas of defined competence.

3. The conduct and circumstances above constitute a violation of A.R.S. §32-2091(12)(h), which is failing or refusing to maintain and retain adequate business, financial or professional records pertaining to the behavior analysis services provided to a client.

<u>ORDER</u>

1. Pursuant to A.R.S. § 32-2091.09(5), the Board has determined that the Respondent's conduct in Complaint No. 23-19 warrants disciplinary action. Based on the foregoing Findings of Fact and Conclusions of Law, IT IS ORDERED THAT:

2. **PROBATION:** Respondent's license as a behavior analyst is placed on probation for a minimum period of twelve (12) months from the effective date of this Consent Agreement, unless otherwise ordered by the Board. The effective date of this Consent Agreement is the date that it is signed by the Board's Executive Director, or her designee, on behalf of the Board.

3. **PRACTICE MONITOR:** While on probation, Respondent shall contract with a Practice Monitor **pre-approved** by the Board's Executive Director or designee and who will provide professional guidance and input to Respondent with respect to business practices, development and implementation of policies and procedures, processes for tracking and follow-through relating to incident reporting, maintaining adequate business and clinical records, supervision, and any other topic deemed pertinent by the Practice Monitor. The Practice Monitor shall submit to the Executive Director a detailed monitoring program outlining the monitoring goals and objectives and how achievement of them will be measured, the milestones associated with those goals and objectives, and the specific activities Respondent will be required to complete. The written monitoring program shall be provided to the Executive Director within thirty (30) days of contracting with Respondent to serve as Practice Monitor. Additionally, the Practice Monitor shall review all applicable meeting audio and/or minutes for Complaint no. 23-19, which will be provided by Board staff, in advance of submitting the written monitoring plan.

Respondent shall submit the name of the licensed behavior analyst who shall serve as the Practice Monitor to the Board's Executive Director for approval within ten (10) business days of the effective date of this Order. Within twenty (20) business days of the effective date of this Order, Respondent shall enter into the Practice Monitor agreement. The Practice Monitor shall have no familial or business relationship with Respondent.

Respondent shall meet with the Practice Monitor at least twice per month for a minimum of two hours each session during the probationary period, at least one of these sessions shall be in person face-to-face at Respondent's agency. The Practice Monitor shall discuss Respondent's general business/clinical practices and recordkeeping. The Practice Monitor shall submit to the Board quarterly written reports of the meetings, to include topics covered and any modifications made to Respondent's practice. The Practice Monitor shall provide written reports to the Board within 30 days after the end of each quarter. The first quarter shall begin on the date of the first session between the Respondent and the Practice Monitor. Before the conclusion of the probationary period, the Practice Monitor is to complete a final written report to the Board summarizing their interaction with Respondent, topics discussed, areas of progress, matters of remaining concern, and overall impressions. The final report shall be submitted to the Board at least 30 days prior to the Board meeting at which the Board will consider Respondent's written request to terminate probation as set forth in paragraph 4. Respondent shall present this Order to the Board-approved Practice Monitor before the first meeting. The first meeting between Respondent and the Practice Monitor shall occur within 45 days of the effective date of this Order. The Board voted to allow Respondent to engage with the Practice Monitor with whom she consulted in case no. 20-52 so long as that Practice Monitor is willing to comply with the terms of this Consent Agreement.

If, during the probationary period, the Practice Monitor is unable or unwilling to continue to act as Respondent's Practice Monitor, within ten (10) business days of the Practice Monitor's termination of the practice monitor relationship, Respondent shall contact the Executive Director in writing and present another potential Practice Monitor for approval. Respondent shall notify the Board of her new Practice Monitor within twenty (20) business days of receiving approval. The sessions between Respondent and the Practice Monitor that occurred prior to the effective date of this Consent Agreement shall not count toward those sessions required under this Consent Agreement. 4. **<u>TERMINATION OF PROBATION:</u>** Unless otherwise ordered by the Board, upon the passage of twelve months and upon the monitor's recommendation, Respondent may petition the Board, in writing, and request termination from probation. If the Board determines that Respondent has not complied with the requirements of this Consent Agreement, the Board may either (a) continue the probation, or (b) institute proceedings for noncompliance with this Consent Agreement, which may result in the suspension, revocation, or other disciplinary or remedial action.

5. **EFFECTIVE DATE:** Respondent understands that this Consent Agreement shall not become effective unless and until adopted by the Board and executed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually approved by the parties in writing.

6. **<u>CONSIDERATION IN FUTURE ACTIONS</u>**: Respondent understands that this Consent Agreement, or any part thereof, may be considered in any future disciplinary action against her.

7. **FINAL RESOLUTION:** This Consent Agreement constitutes a final resolution of these disciplinary matters but does not constitute a dismissal or resolution of other matters currently pending before the Board, if any, and does not constitute any waiver, expressed or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigations, actions, or proceedings. Further, this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.

<u>TIME</u>: Time is of the essence with regard to this Consent Agreement.

9. <u>COSTS:</u> Respondent shall be responsible for all costs incurred as a result of her compliance with this Consent Agreement.

10. **<u>NON-COMPLIANCE</u>** If Respondent fails to comply with the terms of this Consent Agreement, the Board may properly institute proceedings for noncompliance, which may result in suspension, revocation, or other disciplinary or remedial actions. Violation of this

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Consent Agreement is a violation of A.R.S. § 32-2091(12)(aa) ("violating a formal board order, consent agreement, term of probation or stipulated agreement").

11. <u>NON-RENEWAL OR LATE RENEWAL:</u> If Respondent fails to renew her license while under the terms of this Consent Agreement and subsequently applies for late renewal of license or a new license, the remaining terms of this Consent Agreement shall be imposed if the late renewal or reapplication for license is granted.
12. <u>TOLLING:</u> If Respondent for any reason stops practicing behavior analysis in

12. **TOLLING:** If Respondent for any reason stops practicing behavior analysis in Arizona for more than 30 consecutive days or leaves Arizona to reside or practice behavior analysis outside of Arizona, the periods of temporary or permanent residency or practice outside Arizona or the non-practice within Arizona do not reduce the duration of the terms under this Order. Respondent shall notify the Board in writing within five (5) business days of the dates of departure or the dates of non-practice in Arizona.

13. **<u>PUBLIC RECORD</u>**: This Consent Agreement is a public record that may be publicly disseminated as a formal action of the Board and reported to the National Practitioner Data Bank and the Behavior Analyst Certification Board.

DATED this <u>4</u> day of <u>August</u> 2023.

ARIZONA BOARD OF PSYCHOLOGIST EXAMINERS

Hudi Houst Paastonen Bv:

Heidi Herbst Paakkonen Executive Director

ORIGINAL filed electronically this <u>4</u> day of <u>August</u> 2023 with:

Arizona State Board of Psychologist Examiners 1740 W. Adams St., Suite 3403 Phoenix, Arizona 85007

1	COPY mailed by regular and certified mail # 70212720000061790585 this <u>4</u> day of <u>August</u> 2023 to:
2	
3	Paige Huff, M.S., BCBA Respondent
4	Address on Record
5	COPY of the foregoing mailed by USPS regular mail
6	this <u>4</u> day of <u>August</u> 2023 to:
7	Sara Stark, Esq.
8	Chelle Law, PLC 5425 East Bell Road, Suite 107
9	Phoenix, AZ 85254
10	Attorney for Respondent
11	COPY of the foregoing via email (<u>jeanne.galvin@azag.gov</u>) this <u>4</u> day of <u>August</u> 2023 to:
12	Jeanne M. Galvin
13	Assistant Attorney General
14	2005 North Central Ave. SGD/LES Phoenix, Arizona 85004
15	Attorney for the State of Arizona
16	By: Jennifer Michaelsen
17	#11323017
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