



STATE OF ARIZONA
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Governor

HEIDI HERBST PAAKKONEN
Executive Director

Complaint Screening Committee REGULAR SESSION MINUTES

May 19, 2021

Held via Zoom

1. CALL TO ORDER

The regular session of the Arizona Board of Psychologist Examiners Complaint Screening Committee was called to order by Chairman Dynar at 8:33 a.m. on May 19, 2021. No executive sessions were held.

2. ROLL CALL

Committee Members Present

Aditya Dynar, Esq. – Chair
Linda Caterino, Ph.D.
Ramona Mellott, Ph.D.

Attorney General's Office

Jeanne Galvin, Assistant Attorney General

Staff Present

Heidi Herbst Paakkonen, Executive Director
Jennifer Michaelsen, Deputy Director

3. CASE DISCUSSION/DECISION

a. Susanne Mumby, Psy.D., Complaint No. 21-14

Dr. Mellott summarized the case, including salient points of the investigation and pertinent records. The Complainant and two employees from the agency participated, made statements and answered questions from the Committee. The Licensee participated, made a statement, and answered questions from the Committee.

Dr. Mellott expressed concern that it appears Dr. Mumby did not attempt to contact all clients on her caseload before taking leave from her position, or if she did, there is no clear documentation to reflect this. In response to questioning from the Committee, Dr. Mumby was not able to clarify which clients she contacted or did not contact. She stated that she no longer has access to the agency's electronic medical record system so she is unable to verify who was contacted or submit

any documentation regarding her efforts. Dr. Mellott also noted that Dr. Mumby's email sent to her supervisor on Dr. Mumby's last day at work before going on leave was very vague regarding her efforts to contact her clients; additionally she did not communicate that she may need assistance in reaching out to all clients on her caseload given the limited time she had to make contact.

After deliberation, it was the consensus of the Committee to table this matter for review at the July 21, 2021 meeting, to allow the Complainants sufficient time to submit the requested documentation by the Committee which includes a list of clients on Dr. Mumby's caseload, designation as to who was an active/inactive client, and what documentation was found in their record, if any in terms of Dr. Mumby's documented efforts to make contact.

b. Colleen Kowalke, Psy.D., Complaint No. 21-18

Dr. Caterino summarized the case, including salient points of the investigation and pertinent records. The Complainant was present but did not wish to address the Committee. The Licensee and her attorney, Faren Akins, Ph.D., Esq., participated, made a statement, and answered questions from the Committee.

The Committee expressed concern that Dr. Kowalke failed to provide records in a timely manner in response to a written request from the client. It was the consensus of the Committee to forward the case to the Board for consideration but noted that Dr. Kowalke, through her attorney, may wish to work with Board staff to negotiate a resolution of this matter in lieu of further administrative proceedings. The Committee discussed that a possible resolution could include a non-disciplinary Letter of Concern and non-disciplinary Consent Agreement for Continuing Education.

c. Brian Boon, Ph.D., Complaint No. T-21-04

Mr. Dynar summarized the case, including salient points of the investigation and pertinent records. The Complainant participated, made a statement, and answered questions from the Committee. The Respondent participated, made a statement, and answered questions from the Committee.

After deliberation, Mr. Dynar made a motion, seconded by Dr. Mellott, to dismiss this matter, as there are no violations of rule or statute. The motion carried unanimously (3-0), by a voice vote.

4. ADJOURN

There being no further business to come before the Committee, Dr. Mellott made a motion, seconded by Dr. Caterino, to adjourn the meeting. The motion carried (3-0) and the meeting was adjourned at 10:46 a.m.