BEFORE THE BOARD OF PSYCHOLOGIST EXAMINERS FOR THE STATE OF ARIZONA

In the Matter of:

Case No. 20-52

PAIGE HUFF, M.S., BCBA

Applicant for Licensure as a Behavior Analyst In the State of Arizona.

FINDINGS OF FACT, CONCLUSIONS OF LAW, AND CONSENT AGREEMENT FOR ISSUANCE OF LICENSE, PROBATION AND PRACTICE MONITOR

In the interest of a prompt and judicious settlement of the above-captioned matter before the Arizona Board of Psychologist Examiners ("Board") and consistent with public interest, statutory requirements and responsibilities of the Board and pursuant to A.R.S. § 32-2061 *et seq.*, and A.R.S. § 41-1092.07(F)(5), Paige Huff, M.S., BCBA. ("Applicant"), Applicant for licensure as a Behavior Analyst and the Board enter into this Consent Agreement for Probation and Practice Monitor ("Consent Agreement") as the final disposition of this matter.

JURISDICTION

- 1. The Board is authorized to regulate the practice of behavior analysis in Arizona pursuant to A.R.S. § 32-2091, *et. seq.*, and the rules promulgated thereunder, found in Arizona Administrative Code ("A.A.C." or "rules") at R4-26-401, *et seq.*, to regulate and control the licensing of behavior analysts in the State of Arizona.
 - 2. Applicant applied for licensure as a behavior analyst on December 26, 2019.
- 3. The Board has personal and subject matter jurisdiction over Applicant pursuant to A.R.S. § 32-2091, *et seq.*, and the rules of A.A.C. R4-26-401, *et seq.*.

RECITALS

Applicant understands and agrees that:

1. The Board and Applicant enter into this Consent Agreement to promptly and judiciously resolve this matter, consistent with the public interest and the statutory requirements of

the Board.

- 2. Applicant has the right to consult with an attorney prior to entering into this Consent Agreement.
- 3. Applicant has a right to a public hearing concerning this case. She further acknowledges that at such formal hearing she could present evidence and cross-examine witnesses. Applicant irrevocably waives his right to such a hearing.
- 4. Applicant irrevocably waives any right to rehearing or review or to any judicial review or any other appeal to this matter.
- 5. Applicant acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future.
- 6. Applicant understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
- 7. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Applicant, including violations of the Arizona Consumer Protection Act. Applicant acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Applicant.
- 8. This Consent Agreement shall be subject to the approval by the Board and shall be effective only when approved by the Board and signed by the Board's Executive Director. In the

event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except the parties agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Applicant shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.

- 9. The Consent Agreement, once approved by the Board and signed by the Applicant and the Executive Director, shall constitute a public record, which may be disseminated as a formal action of the Board and shall be reported to the National Practitioner Data Bank.
- 10. Applicant voluntarily enters into this Consent Agreement for the purpose of avoiding the expense, uncertainty, and prolonged time involved in further administrative proceedings. The issues contained herein are resolved by settlement and not actually litigated. Any allegations and findings herein may not be used for *res judicata* or collateral estoppel effect in any subsequent civil proceedings for any claims of professional liability or negligence by or on behalf of Complainant(s).

FINDINGS OF FACT

- 1. On or about December 26, 2019, Applicant filed with the Board an application for licensure as a behavior analyst in the State of Arizona. With respect to Applicant's professional education, supervision and experience:
 - a. Applicant became certified as a BCaBA (Board Certified Assistant Behavior Analyst) in November of 2017;
 - b. Applicant became certified as a BCBA (Board Certified Behavior Analyst) in November of 2019;
 - c. In January of 2020, Applicant became licensed as a behavior analyst in Texas.
- 2. Applicant and her husband, Dylan Huff, are co-owners of Adventure ABA, LLC, a behavior analytic company. Dylan Huff is a licensed behavior analyst (#BEH 000267). He has held

that license since February of 2017.

- 3. From April 2019 through June of 2020, Adventure ABA provided Applied Behavior Analysis ("ABA") services to a 5 year old male client ("Client") who was diagnosed with Autism Spectrum Disorder. Several Adventure ABA staff members provided services to Client, including Dylan Huff.
- 4. During the review of Applicant's application for licensure, in June of 2020, a complaint was filed against Mr. Dylan Huff and Applicant. The complaint alleged, *inter alia*, that Applicant authored a "Transition of Care" letter on behalf of client that contained information about client's mother that portrayed her in an unfavorable light. In addition, it was alleged that Applicant engaged in the unauthorized/unlicensed practice of behavior analysis and that she was providing supervision to individuals employed at Adventure ABA and who are pursuing BCBA certification and licensure.
- 5. Upon recommendation of the Committee on Behavior Analysis ("Committee"), the Board found that Applicant was practicing behavior analysis without a license. This included Applicant's supervision of Registered Behavior Technicians (RBTs), her signing two of Client's Treatment Plans, Applicant's written communication with Mother that went beyond administrative matters and into clinical matters, and Applicant listed her credentials as BCBA although she was not licensed to practice behavior analysis in Arizona at the time. Doing so could lead members of the public to conclude Applicant was actually licensed to practice behavior analysis in Arizona.
- 6. Finally, the Committee on Behavior Analysis expressed concern raised by Mother/Complainant that given the organizational structure of Adventures ABA, clients may find it difficult to raise concerns about Applicant because she and her husband are co-owners of the business, thus making reporting any complaints difficult. There appeared to be no mechanism in place to allow clients to complain to someone other than the co-owner's husband.

CONCLUSIONS OF LAW

- 1. A.R.S. §32-2091.02 provides, in part, that a person who wishes to practice behavior analysis must be licensed and further (6) if an applicant for licensure as a behavior analyst must not have committed any act or engaged in any conduct that would constitute grounds for disciplinary action against a licensee.
- 2. The conduct and circumstances above constitute a violation of A.R.S. §32-2091(12)(dd), which defines unprofessional conduct as violating an ethical standard adopted by the Board as it relates to the Behavior Analyst Certification Board's Professional and Ethical Compliance Code for Behavior Analysts. The conduct set forth above is a violation of the following ethical code provisions:
 - a. 1.0 Responsible Conduct of Behavior Analysts, which require behavior analysts to maintain the high standards of behavior of the profession;
 - b. 1.02(a) Boundaries of Competence, which requires all behavior analysts to
 provide services, teach, and conduct research only within the boundaries of
 their competence, defined as being commensurate with the education, training
 and supervised experience;
 - c. 1.04(d) Integrity, which requires a behavior analysts' behavior conforms to
 the legal and ethical codes of the social and professional community of which
 they are members;
 - d. 5.01 Supervisory Competence, which requires behavior analysts supervise only within their areas of defined competence.

ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

1. IT IS THEFORE ORDERED APPROVING Applicant's application for

not issue until such time Applicant signs this Consent Agreement. Upon Applicant's signing of this Consent Agreement, the license shall issue as soon as practicable. If the Applicant fails to sign the Consent Agreement within fourteen (14) calendar days from the date that it is sent to her via email, the application for licensure is automatically denied and Applicant hereby waives her right to a hearing on that denial.

- 2. The effective date of the Consent Agreement Order is the date it is signed by the Board's Executive Director. As soon as the license is issued, it shall be placed on probation immediately for a minimum period of twelve (12) months.
- 3. During the period of probation, Applicant shall engage with a Board-approved Practice Monitor for the purpose ensuring Applicant's compliance with the Board's statutes, rules and ethical requirements.
- 4. Applicant shall submit the name of the licensed behavior analyst who shall serve as the Practice Monitor to the Board's Executive Director for approval within ten (10) business days of the effective date of this Order. Within twenty (20) business days of the effective date of this Order, Applicant shall enter into the Practice Monitor agreement. The Practice Monitor shall have no familial or prior business relationship with Applicant.
- 5. The Practice Monitor shall provide professional guidance and input to Applicant to ensure Applicant meets all requirements for the standard of practice required of a behavior analyst and to address the violations set forth in this Consent Agreement. Once approved, the Practice Monitor shall submit to the Executive Director a detailed monitoring program outlining the monitoring goals and objectives and how achievement of them will be measured. The written

- monitoring program shall be provided to the Executive Director within ten (10) days of contracting with Applicant to serve as Practice Monitor.
- 6. Applicant shall meet with the Practice Monitor in-person or virtually at least twice per month for a minimum of two hours each session during the probationary period. The Practice Monitor shall review a minimum of five of Applicant's recent patient records each quarter and discuss with Applicant the Monitor's findings, conclusions, and recommendations for improvement. If five patient files are not available due to Applicant's recent licensure, the Practice Monitor and Applicant shall engage in activities to address the stated deficiencies.
- 7. The Practice Monitor shall submit to the Committee on Behavior Analysis quarterly written reports of the sessions with Applicant, to include topics covered, any concerns, and any modifications made to Applicant's practice. The Practice Monitor shall provide written reports to the Committee within 30 days after the end of each quarter. The first quarter shall begin on the date of the first session between the Applicant and the Practice Monitor. Before the conclusion of the probationary period, the Practice Monitor is to complete a final written report to the Committee/Board summarizing his/her interaction with Applicant, topics discussed, areas of progress, matters of remaining concern, and overall impressions. The final report shall be submitted to the Committee within 30 days prior to the date of the meeting at which the Committee will consider Applicant's written request to terminate probation as set forth in paragraph 10. The Committee shall then make a recommendation to the Board concerning Applicant's request to terminate probation. Applicant shall present this Consent Agreement to the Practice Monitor before the first meeting. The first meeting between Applicant and the Practice Monitor shall occur within 30 days of the effective date of this Consent

Agreement.

- 8. After a period of six (6) months, Applicant may petition the Committee to reduce the frequency and length of the sessions with the Practice Monitor. The Practice Monitor must support such a request before its submission to the Committee. The Committee shall consider the request during the next meeting, assuming the agenda allows for it. The Committee shall then make a recommendation to the Board regarding the frequency and duration of Applicant's Practice Monitor sessions.
- 9. If, during the probationary period, the Practice Monitor is unable or unwilling to continue to act as Applicant's Practice Monitor, within 10 business days of the Practice Monitor's termination of the practice monitor relationship, Applicant shall contact the Executive Director in writing and present another potential Practice Monitor for approval. Applicant shall notify the Board of her new Practice Monitor within 20 business days of receiving approval.
- 10. **TERMINATION OF PROBATION:** At the end of twelve (12) months and upon the Practice Monitor's recommendation, Applicant may petition the Committee/Board, in writing, and request termination from probation. If the Committee determines that Applicant has not complied with the requirements of this Consent Agreement, the Committee may recommend to the Board that Board either (a) continue the probation, or (b) institute proceedings for noncompliance with this Consent Agreement, which may result in the suspension, revocation, or other disciplinary or remedial action. The Committee shall make a recommendation to the Board with respect to Applicant's request to terminate probation.
- 11. **CONTINUTING EDUCATION:** Within six (6) months of the effective date of this Consent Agreement, Applicant shall complete nine (9) hours of continuing education. The continuing education shall be in the areas of ethics, specifically

addressing the following provisions of the BACB ethical code 1.0 - Responsible Conduct of Behavior Analysts; 2.0 - Behavior Analysts' Responsibility to Clients; and 5.0 - Behavior Analysts as Supervisors. The continuing education shall be preapproved by the Board's Executive Director and shall be in addition to what is required for the renewal of Applicant's license. The continuing education may be taken in-person or through distance learning.

- 12. <u>CONSIDERATION IN FUTURE ACTIONS:</u> Applicant understands that this Consent Agreement, or any part thereof, may be considered in any future disciplinary action against her.
- 13. **TIME:** Time is of the essence with regard to this Consent Agreement.
- 14. <u>COSTS:</u> Applicant shall be responsible for all costs incurred as a result of her compliance with this Consent Agreement.
- 15. **NON-COMPLIANCE:** If Applicant fails to comply with the terms of this Consent Agreement, the Board may properly institute proceedings for noncompliance, which may result in suspension, revocation, or other disciplinary or remedial actions. Violation of this Consent Agreement is a violation of A.R.S. § 32-2091(12)(aa) ("violating a formal board order, consent agreement, term of probation or stipulated agreement").
- 16. NON-RENEWAL OR LATE RENEWAL: If Applicant fails to renew her license while under the terms of this Consent Agreement and subsequently applies for late renewal of license or a new license, the remaining terms of this Consent Agreement shall be imposed if the late renewal or reapplication for license is granted.
- 17. **TOLLING:** If Applicant for any reason stops practicing behavior analysis in Arizona for more than 30 consecutive days or leaves Arizona to reside or practice behavior analysis outside of Arizona, the periods of temporary or permanent

residency or practice outside Arizona or the non-practice within Arizona do not reduce the duration of the terms under this Order. Applicant shall notify the Board in writing within five (5) business days of the dates of departure or the dates of nonpractice in Arizona.

- 18. **PUBLIC RECORD:** This Consent Agreement is a public record that may be publicly disseminated as a formal action of the Board and reported to the National Practitioner Data Bank.
- 19. **COMMITTEE RECOMMENDATION:** As Applicant is a co-owner of Adventure ABA and also a licensed professional (upon finalization of this Consent Agreement), the Committee strongly recommends Applicant become a member of a professional organization that focuses on owning a business and simultaneously holding a professional license. Such organizations include: Association for Behavior Analysis International; Association of Professional Behavior Analysts; Behavior Health Center of Excellence; and Council for Autism Service Providers. Membership in one or more similar organizations will provide Applicant much needed mentorship and guidance.

DATED this 3rd day of March . 2021.

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Applicant

ORIGINAL filed electronically

This <u>16</u> day of <u>March</u>, 2021 with:

Arizona Board of **Psychologist Examiners**

Heidi Herbst Paakkonen **Executive Director**

1 2	Arizona State Board of Psychologist Examiners 1740 W. Adams St., Suite 3403 Phoenix, Arizona 85007
3	COPY of the foregoing mailed by Certified Mail No. 9489009000276155081341
4	This <u>16</u> day of <u>March</u> , 2021 to:
5	Paige Huff, M.S. Address on Record Respondent
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8	COPY of the foregoing mailed by USPS regular mail This <u>16</u> day of <u>March</u> , 2021 to:
9	Mandi Karvis, Esq. Wicker, Smith, O'Hara, McCoy & Ford, PA One N. Central Avenue, Suite 885 Phoenix, Arizona 85004
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11	
12	Attorney for Applicant
13	COPY of the foregoing via email (jeanne.galvin@azag.gov) This 16 day of March, 2021 to:
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15	Jeanne M. Galvin Assistant Attorney General 2005 North Central Ave. SGD/LES Phoenix, Arizona 85004 Jeanne.galvin@azag.gov Attorney for the State of Arizona
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19	By: <u>Jennifer Michaelsen</u>
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