# BEFORE THE BOARD OF PSYCHOLOGIST EXAMINERS FOR THE STATE OF ARIZONA

In the Matter of:	)	
Vaughn Tsoutsouris, Psy.D.	<ul> <li>Case No. 21-12</li> <li>CONSENT AGREEMENT AND</li> <li>ORDER FOR PROBATION,</li> <li>SUPERVISION AND CONTINUING</li> <li>EDUCATION</li> </ul>	
Holder of License No. PSY-004502 for the Practice of Psychology in the State of Arizona		

On May 7, 2021, the Arizona Board of Psychologist Examiners ("Board") met in open session to discuss the above-referenced case. Vaughn Tsoutsouris, Psy.D. ("Respondent") appeared virtually with his attorney, Faren Akins, Esq., Ph.D. After discussion, consideration, and deliberation, the Board voted to hold an Informal Interview at a future date and alternatively, directed the Board's legal counsel and Respondent to enter into settlement negotiations. The parties agree to enter into this Consent Agreement in lieu of commencing an Informal Interview.

## **JURISDICTION**

- 1. The Board is authorized to regulate the practice of psychology and behavior analysis in Arizona pursuant to A.R.S. § 32-2061, *et seq*, and the rules promulgated thereunder, found in Arizona Administrative Code ("A.A.C." or "rules") at R4-26-101, *et seq*., to regulate and control the licensing of psychologists and behavior analysts in the State of Arizona.
- 2. Respondent is the holder of license number PSY-004502 for the practice of psychology in the State of Arizona.
- 3. The Board has personal and subject matter jurisdiction over Respondent pursuant to A.R.S. § 32-2061, *et seq.*, and the rules at A.A.C. R4-26-101, *et seq.*

### **RECITALS**

Respondent understands and agrees that:

- 1. The Board and Respondent enter into this Consent Agreement to promptly and judiciously resolve these matters, consistent with the public interest and the statutory requirements of the Board.
- 2. Respondent has the right to consult with an attorney before entering into this Consent Agreement.
- 3. Respondent has a right to a formal public hearing concerning this case where he could present evidence and cross-examine witnesses. Respondent irrevocably waives any right to such a hearing upon this Consent Agreement becoming effective.
- 4. Respondent irrevocably waives any right to rehearing or review or to any judicial review or any other appeal of this matter upon this Consent Agreement becoming effective.
- 5. Although Respondent does not agree that all of the allegations in Complaint No. 21-12 are supported by the evidence, Respondent acknowledges that it is the Board's position that if these matters proceeded to formal hearing, the Board could offer sufficient evidence to support a conclusion that certain of Respondent's conduct constituted unprofessional conduct. Therefore, Respondent has agreed to enter into this Consent Agreement as an economical and practical means of resolving the issues alleged in the Complaint.
- 6. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future.
- 7. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction

regarding any other pending or future investigation, action or proceeding.

- 8. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter other matters concerning Respondent, including violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.
- 9. Respondent understands that any violation of this Consent Agreement constitutes unprofessional conduct and may result in disciplinary action, pursuant to A.R.S. §32-2061(16)(aa).
- 10. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

ACCEPTED AND AGREED BY RESPONDENT:

Vaughn Tsoutsouris, Ps

Respondent

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Date:

### **FINDINGS OF FACT**

- 1. Between July 2020 January 2021, Respondent provided individual counseling services to an adult female client ("Client"). All sessions were conducted via telehealth.
- 2. On February 15, 2021, the Board received Complaint No. 21-12 from Client alleging that multiple ethical issues occurred during the course of therapy to include that:
  - a. Respondent did not explain the therapy process and his approach;
  - Boundary violations occurred in sessions and during electronic communications;
  - Respondent made multiple disclosures during sessions regarding his personal and dating life.
  - d. He told Client in session that he sexualizes his clients;
  - e. Respondent encouraged Client's romantic and sexual feelings towards him;
  - f. He abandoned Client by inappropriately terminating therapy but later offered her a termination session if she agreed not to submit a Board complaint;
  - g. Respondent delayed in sending Client her clinical record after she requested it in writing.
- 3. On May 7, 2021, the Board conducted an initial review for Complaint no. 21-12. The Board found that:
  - a. There was no structure or limitations to the text messaging and phone calls with Client, which resulted in a lack of boundaries;
  - Respondent did not seek professional consultation early on in his treatment of Client when ethical issues presented in therapy, particularly when Client expressed a romantic interested in Respondent;

- c. The extent of personal disclosures made by Respondent in sessions were inappropriate given that Client expressed early on in therapy that she was interested in dating Respondent;
- d. Respondent failed to give enough attention to Client's substance use issues in therapy and no formal substance abuse assessment was completed given that Client expressed concerns related to her drinking habits.
- 4. Client represented in her complaint and testified at both Board proceedings that Respondent's conduct made her, among other things, feel very unsafe in therapy, distressed and anxious, and question if she would ever participate in therapy again.
- 5. Respondent acknowledged that while he made some personal disclosures during the course of therapy, to include mentioning that he was single, he denies having stated that he sexualizes clients. Respondent denied that he inappropriately terminated Client's treatment or delayed sending her records.
- 6. The Board also determined that the treatment record for Client did not meet the standard of care and had multiple recordkeeping deficiencies in that there was a lack of informed consent for electronic communications; the telehealth consent form was not in compliance with the Board's rule for telepsychology; there was a lack of documentation in the record addressing the various ethical issues that occurred throughout treatment; the session notes contained minimal content information; and there was no written policy or clear guidelines for phone and text communications.
- 7. Respondent acknowledges there were various deficiencies with his recordkeeping, clinical forms and general practices and since being notified of the complaint, he has implemented a new electronic communications policy and updated several clinical forms to

include the general consent and consent for telepsychology forms. Respondent has completed multiple continuing education courses since being notified of the complaint, in the areas of ethics, informed consent, and recordkeeping.

### **CONCLUSIONS OF LAW**

- 1. The conduct and circumstances described in Complaint No. 21-12, if supported by the facts alleged, would constitute unprofessional conduct pursuant to:
- a. A.R.S. §32-2061(16)(h), failing or refusing to maintain and retain adequate business, financial or professional records pertaining to the psychological services provided to a client or patient;
- b. A.R.S. § 32-2061(16)(k), violating any federal or state laws or rules that relate to the practice of psychology or to obtaining a license to practice psychology as it relates to R4-26-109, General Provisions Regarding Telepractice, and R4-26-110, Providing Psychological Service by Telepractice;
- c. A.R.S. § 32-2061(16)(o), engaging in activities as a psychologist that are unprofessional by current standards of practice;
- d. A.R.S. § 32-2061(16)(dd), violating an ethical standard adopted by the Board as it pertains to section 3.04 (Avoiding Harm) of the American Psychological Association Ethical Principles of Psychologists and Code of Conduct.

#### **ORDER**

- 1. Pursuant to A.R.S. § 32-2081(S), the Board has determined that the Respondent's conduct in Complaint No. 21-12 warrants disciplinary action. Based on the foregoing Findings of Fact and Conclusions of Law, IT IS ORDERED THAT:
  - 2. **PROBATION:** Respondent's license as a psychologist is placed on probation for

a minimum period of twelve (12) months from the effective date of this Consent Agreement, unless otherwise ordered by the Board. The effective date of this Consent Agreement is the date that it is signed by the Board's Executive Director, or her designee, on behalf of the Board.

- 3. **CONTINUING EDUCATION:** Within six (6) months of the effective date of this Consent Agreement and, in addition to the continuing education requirements that are required by rule for license renewal, Respondent shall complete an additional ten (10) hours of continuing education in the areas of personality disorders and substance use disorders (minimum four hours in each area). All continuing education courses must be pre-approved by the Board's Executive Director or designee. Within fourteen (14) days of completing this continuing education, Respondent shall submit the certificates of completion to the Executive Director or designee.
- Practice Monitor pre-approved by the Board's Executive Director or designee and who will provide professional guidance and input to Respondent with respect to ethics, clinical documentation/recordkeeping, policies and procedures for electronic communication with clients outside of treatment sessions, transference, proper use of personal disclosures during psychotherapy, assessing and treating personality disorders and substance use disorders, importance of professional consultation, termination of services, and any other topic deemed pertinent by the Practice Monitor. The Practice Monitor shall submit to the Executive Director a detailed monitoring program outlining the monitoring goals and objectives and how achievement of them will be measured, and the activities Respondent will be required to complete. The written monitoring program shall be provided to the Executive Director within ten (10) business days of contracting with Respondent to serve as Practice Monitor. The

Practice Monitor shall review all applicable Board meeting audio and/or minutes for Complaint no. 21-12, which will be provided by Board staff, in advance of submitting the written monitoring plan.

- 5. Respondent shall submit the name of the psychologist who shall serve as the Practice Monitor to the Board's Executive Director for approval within ten (10) business days of the effective date of this Order. Within twenty (20) business days of the effective date of this Order, Respondent shall enter into the Practice Monitor agreement. The Practice Monitor shall have no familial, personal or business relationship with Respondent prior to agreeing to act as the Practice Monitor.
- 6. Respondent shall meet with the Practice Monitor in-person at least twice per month for a minimum of two hours each session during the probationary period. The Practice Monitor shall review a minimum of five of Respondent's recent patient records each quarter and discuss with Respondent the Monitor's findings, conclusions, and recommendations for improvement. The Practice Monitor shall submit to the Board quarterly written reports of the meetings, to include topics covered, any concerns, any modifications made to Respondent's practice, and any other pertinent information. The Practice Monitor shall provide written reports to the Board within 30 days after the end of each quarter. The first quarter shall begin on the date of the first session between the Respondent and the Practice Monitor. Before the conclusion of the probationary period, the Practice Monitor is to complete a final written report to the Board summarizing their interaction with Respondent, topics discussed, areas of progress, matters of remaining concern, and overall impressions. The final report shall be submitted to the Board within 30 days prior to the date of the meeting at which the Board considers Respondent's written request to terminate probation as set forth in paragraph 7.

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27 28 Respondent shall present this Consent Agreement to the Board-approved Practice Monitor before the first meeting. The first meeting between Respondent and the Practice Monitor shall occur within 30 days of the effective date of this Consent Agreement.

- 7. If, during the probationary period, the Practice Monitor is unable or unwilling to continue to act as Respondent's Practice Monitor, within 10 business days of the Practice Monitor's termination of the practice monitor relationship, Respondent shall contact the Executive Director in writing and present another potential Practice Monitor for approval. Respondent shall notify the Board of his new Practice Monitor within 20 business days of receiving approval. The sessions between Respondent and the Practice Monitor that occurred prior to the effective date of this Consent Agreement shall not count toward those sessions required under this Order.
- 8. **TERMINATION OF PROBATION:** At the end of six (6) months and upon the Practice Monitor's recommendation, Respondent may petition the Board, in writing, and request termination from probation, unless otherwise ordered by the Board. If the Board determines that Respondent has not complied with the requirements of this Consent Agreement, the Board may either (a) continue the probation, or (b) institute proceedings for noncompliance with this Consent Agreement, which may result in the suspension, revocation, or other disciplinary or remedial action.
- 9. **EFFECTIVE DATE:** Respondent understands that this Consent Agreement shall not become effective unless and until adopted by the Board and executed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually approved by the parties in writing.
  - 10. **CONSIDERATION IN FUTURE ACTIONS:** Respondent understands that

this Consent Agreement, or any part thereof, may be considered in any future disciplinary action against him.

- 11. **FINAL RESOLUTION:** This Consent Agreement constitutes a final resolution of these disciplinary matters but does not constitute a dismissal or resolution of other matters currently pending before the Board, if any, and does not constitute any waiver, expressed or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigations, actions, or proceedings. Further, this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
  - 12. **TIME:** Time is of the essence with regard to this Consent Agreement.
- 13. <u>COSTS:</u> Respondent shall be responsible for all costs incurred as a result of his compliance with this Consent Agreement.
- 14. **NON-COMPLIANCE:** If Respondent fails to comply with the terms of this Consent Agreement, the Board may properly institute proceedings for noncompliance, which may result in suspension, revocation, or other disciplinary or remedial actions. Violation of this Consent Agreement is a violation of A.R.S. § 32-2061(16)(aa) ("violating a formal board order, consent agreement, term of probation or stipulated agreement").
- 15. **NON-RENEWAL OR LATE RENEWAL:** If Respondent fails to renew his license while under the terms of this Consent Agreement and subsequently applies for late renewal of license or a new license, the remaining terms of this Consent Agreement shall be imposed if the late renewal or reapplication for license is granted.
- 16. **TOLLING:** If Respondent for any reason stops practicing psychology in Arizona for more than 30 consecutive days or leaves Arizona to reside or practice psychology

1	outside of Arizona, the periods of temporary or permanent residency or practice outside Arizona
2	or the non-practice within Arizona do not reduce the duration of the terms under this Order.
3	Respondent shall notify the Board in writing within five (5) business days of the dates of
5	departure or the dates of non-practice in Arizona.
6	17. <b>PUBLIC RECORD:</b> This Consent Agreement is a public record that may be
7	publicly disseminated as a formal action of the Board and reported to the National Practitioner
8	Data Bank.
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10	DATED this day of June, 2021.
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12   13	ARIZONA BOARD OF
14	PSYCHOLOGIST EXAMINERS
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16	By:
17	Heidi Herbst Paakkonen Executive Director
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19	ORIGINAL filed electronically this 4 day of June, 2021, to:
20   21	COPY mailed by regular and certified mail # 9489009000276155081310
21	this 4 day of June, 2021, to:
23	Vaughn Tsoutsouris, Psy.D.
24	Respondent Address on Record
25	COPY of the foregoing mailed by USPS regular mail
26	this 4 day of June, 2021, with:
27 28	Faren Akins, Esq., Ph.D. Akins Law Firm

1	7702 East Doubletree Ranch Road
2	#300 Scottsdale, AZ 85258
3	Attorney for Respondent
4	COPY of the foregoing via email (jeanne.galvin@azag.gov)
5	This 4 day of June, 2021, with:
6	Jeanne M. Galvin
7	Assistant Attorney General 2005 North Central Ave. SGD/LES
8	Phoenix, Arizona 85004
9	Attorney for the State of Arizona
10	By: Jennifer Michaelsen
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